



# SBA APPLICATION

If you intend to apply for joint credit, please initial here.

Applicant \_\_\_\_\_ Co-Applicant \_\_\_\_\_

## SECTION 1. BUSINESS INFORMATION

### Applicant Company

Company Name \_\_\_\_\_ Company Phone \_\_\_\_\_

DBA / Franchise Name \_\_\_\_\_ Fax \_\_\_\_\_

Street \_\_\_\_\_ Suite # \_\_\_\_\_ Website \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_ - \_\_\_\_\_ County \_\_\_\_\_

Date Company Founded \_\_\_\_\_ Date of Current Ownership \_\_\_\_\_ NAICS \_\_\_\_\_

No. of Locations \_\_\_\_\_ No. of Employees (Current/After Financing) \_\_\_\_\_ / \_\_\_\_\_ FEIN / SSN \_\_\_\_\_

Type of Organization  C Corp  S Corp  LLC  LLP  LP  GP  Sole Prop State of Org. \_\_\_\_\_

Type of Business  Service  Retail  Wholesale  Mfg  Distribution  Other (Describe) \_\_\_\_\_

Does business currently have any plans for future locations?  Yes  No (If yes, how many?) \_\_\_\_\_

Do sales to any one customer exceed 10% of company's annual revenue?  Yes  No

### Primary Contact

Name \_\_\_\_\_ Office Phone \_\_\_\_\_

E-Mail \_\_\_\_\_ Mobile Phone \_\_\_\_\_

### Disclaimer

Each person who signs this application for credit, whether on his or her own behalf, on behalf of a business entity applying for this credit (the "Company"), or as a potential or actual guarantor of the credit extended or to be extended to the applicant (each, a "Signer"), states under penalty of perjury and agrees, on Signer's own behalf and, if this application is on Company's behalf, on the Company's behalf:

1. that all of the information (collectively, the "Information") on this application and on all other statements and documents heretofore, herewith or hereafter furnished to Community Bank of the Bay ("Bank") with respect to the Signer or the Company are true and complete as of the date specified and, if that date is not today, the Information remains complete and correct in all material respects;
2. that Bank is hereby, and from time-to-time is hereafter, authorized to confirm the accuracy and completeness of the Information from any sources Bank chooses, and, if this application is made on behalf of Company, Bank is also authorized now, and from time-to-time hereafter, to seek other information concerning the Signers as Bank deems appropriate, from credit reporting agencies and such other sources as Bank chooses;
3. to notify Bank promptly if there is any material adverse change in Company's or in Signer's financial condition or in any other Information furnished to Bank in connection with this application or with the credit extended by Bank on the basis of such Information;
4. Bank is authorized to furnish to others, including without limitation, (a) the Small Business Administration, (b) investors considering a purchase of, or having purchased, all or a portion of the credit that may be extended on the basis of this application, (c) any other person deemed appropriate by Bank in connection with its consideration, extension, servicing, collection or enforcement of any credit extended by Bank to the applicant, any information concerning any credit extended by Bank to the applicant or, if different, concerning the Signer; and
5. Signer understands that (a) if the applicant is an individual, he or she may apply for this credit in his or her own name, regardless of Signer's marital status; (b) it is a federal crime (18 USC § 1014, among others), punishable by fine and/or imprisonment, to knowingly make a false statement to a federally insured bank, including a statement overvaluing property or actual or potential collateral for a loan; and (c) Bank will be, and the SBA and investors considering a purchase of, or having purchased, all or a portion of the credit that may be extended on the basis of this application may be, relying upon the Information and on the agreements contained in this application.

### Signature(s)

Applicant Name	Applicant Signature	Title	Date
Applicant Name	Applicant Signature	Title	Date
Applicant Name	Applicant Signature	Title	Date
Applicant Name	Applicant Signature	Title	Date



**Loan Application Identifiers - Applicant Company Information**

Applicant Company Name \_\_\_\_\_ Applicant Company Phone \_\_\_\_\_

**SECTION 2. OWNERSHIP, OFFICERS, MANAGERS, AND PARTNERS**

List below all owners, partners, Limited Liability Company (LLC) members, and stockholders totaling 100% of ownership. For corporations, identify all corporate officers regardless of ownership. For a Partnership or LLC, identify the managing / general partner or managing member.

Name \_\_\_\_\_ Title \_\_\_\_\_ Ownership % \_\_\_\_\_ SSN \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_  
Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

Name \_\_\_\_\_ Title \_\_\_\_\_ Ownership % \_\_\_\_\_ SSN \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_  
Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

Name \_\_\_\_\_ Title \_\_\_\_\_ Ownership % \_\_\_\_\_ SSN \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_  
Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

Name \_\_\_\_\_ Title \_\_\_\_\_ Ownership % \_\_\_\_\_ SSN \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_  
Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

Name \_\_\_\_\_ Title \_\_\_\_\_ Ownership % \_\_\_\_\_ SSN \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_  
Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

**Total Ownership %** \_\_\_\_\_

**SECTION 3. AFFILIATES**

List below all business concerns in which the applicant company or any of the individuals listed in the Ownership Section above have 20% ownership or controlling interest. Affiliation also exists where an individual(s) has control of the company and other concerns even though the ownership is less than 20%.

Company Name \_\_\_\_\_ Owned By \_\_\_\_\_ Ownership % \_\_\_\_\_  
Address \_\_\_\_\_ NAICS \_\_\_\_\_ # Employees \_\_\_\_\_

Company Name \_\_\_\_\_ Owned By \_\_\_\_\_ Ownership % \_\_\_\_\_  
Address \_\_\_\_\_ NAICS \_\_\_\_\_ # Employees \_\_\_\_\_

Company Name \_\_\_\_\_ Owned By \_\_\_\_\_ Ownership % \_\_\_\_\_  
Address \_\_\_\_\_ NAICS \_\_\_\_\_ # Employees \_\_\_\_\_

**SECTION 4. REFERENCES**

**Bank reference**

Bank Name \_\_\_\_\_ Contact \_\_\_\_\_ Phone ( \_\_\_\_\_ ) \_\_\_\_\_ - \_\_\_\_\_  
Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_



**Loan Application Identifiers - Applicant Company Information**

Applicant Company Name \_\_\_\_\_ Applicant Company Phone ( ) - \_\_\_\_\_

**SECTION 5. USE OF PROCEEDS**

**Briefly Describe Project**

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**Describe Collateral Pledged** (if commercial real estate is part of collateral pledged, provide all names on deeds)

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

Yes  No Are all assets pledged located at the same address as indicated in Section 1 of Page 1?

If "No," provide details to the right \_\_\_\_\_

Note: Provide all values below as positive whole dollars. Do not use negative symbols.

**Totals to be calculated by applicant.**

<b>PURPOSE</b>	<b>AMOUNT</b>	<b>PURPOSE</b>	<b>AMOUNT</b>
Land & Building Acquisition	\$ _____	Purchase of Inventory	\$ _____
New Building Construction	\$ _____	Leasehold Improvements	\$ _____
Land Acquisition	\$ _____	Pay-off Trade / Accounts Payable	\$ _____
Contingency for Cost Overruns (10%)	\$ _____	Working Capital	\$ _____
Construction Loan Interest	\$ _____	Business Acquisition / Goodwill	\$ _____
Engineering / Architectural Fee	\$ _____	Franchise Fees	\$ _____
Building Renovations	\$ _____	Closing Costs (to include SBA fee)	\$ _____
Purchase of Machinery & Equipment	\$ _____	Other	\$ _____
Purchase of Furniture & Fixtures	\$ _____	Other	\$ _____
Purchase of Business Assets	\$ _____	Other	\$ _____
Debt Refinance - Real Estate	\$ _____	Other	\$ _____
Debt Refinance - Machinery & Equipment	\$ _____	Other	\$ _____
Debt Refinance - Working Capital	\$ _____	Other	\$ _____
		<b>Total Estimated Project Amount</b>	\$ _____
		Less Applicant's Cash / Equity Injection	\$ _____
		Less Seller Financing (Explain terms and conditions below)	\$ _____
		_____	
		_____	
		<b>Total Loan Required For Project</b>	\$ _____



**Loan Application Identifiers - Applicant Company Information**

Applicant Company Name \_\_\_\_\_ Applicant Company Phone ( ) - \_\_\_\_\_

**SECTION 6. BUSINESS DEBT** (Attach a copy of each debt instrument listed below)

Indicate each business debt to be refinanced using proceeds from the SBA Loan by checking the 'SBA Refi.' Box.

Orig. Amt. \_\_\_\_\_ Cur. Bal. \$ \_\_\_\_\_ Mo. Payment \_\_\_\_\_ % Rate \_\_\_\_\_ Fix Var Current Past Due Orig. Dt. \_\_\_\_\_  
Lender \_\_\_\_\_ Phone ( ) - \_\_\_\_\_ Mat. Dt. \_\_\_\_\_  
Collateral \_\_\_\_\_ Loan # \_\_\_\_\_ SBA Refi

Orig. Amt. \_\_\_\_\_ Cur. Bal. \$ \_\_\_\_\_ Mo. Payment \_\_\_\_\_ % Rate \_\_\_\_\_ Fix Var Current Past Due Orig. Dt. \_\_\_\_\_  
Lender \_\_\_\_\_ Phone ( ) - \_\_\_\_\_ Mat. Dt. \_\_\_\_\_  
Collateral \_\_\_\_\_ Loan # \_\_\_\_\_ SBA Refi

Orig. Amt. \_\_\_\_\_ Cur. Bal. \$ \_\_\_\_\_ Mo. Payment \_\_\_\_\_ % Rate \_\_\_\_\_ Fix Var Current Past Due Orig. Dt. \_\_\_\_\_  
Lender \_\_\_\_\_ Phone ( ) - \_\_\_\_\_ Mat. Dt. \_\_\_\_\_  
Collateral \_\_\_\_\_ Loan # \_\_\_\_\_ SBA Refi

Orig. Amt. \_\_\_\_\_ Cur. Bal. \$ \_\_\_\_\_ Mo. Payment \_\_\_\_\_ % Rate \_\_\_\_\_ Fix Var Current Past Due Orig. Dt. \_\_\_\_\_  
Lender \_\_\_\_\_ Phone ( ) - \_\_\_\_\_ Mat. Dt. \_\_\_\_\_  
Collateral \_\_\_\_\_ Loan # \_\_\_\_\_ SBA Refi

Orig. Amt. \_\_\_\_\_ Cur. Bal. \$ \_\_\_\_\_ Mo. Payment \_\_\_\_\_ % Rate \_\_\_\_\_ Fix Var Current Past Due Orig. Dt. \_\_\_\_\_  
Lender \_\_\_\_\_ Phone ( ) - \_\_\_\_\_ Mat. Dt. \_\_\_\_\_  
Collateral \_\_\_\_\_ Loan # \_\_\_\_\_ SBA Refi

Orig. Amt. \_\_\_\_\_ Cur. Bal. \$ \_\_\_\_\_ Mo. Payment \_\_\_\_\_ % Rate \_\_\_\_\_ Fix Var Current Past Due Orig. Dt. \_\_\_\_\_  
Lender \_\_\_\_\_ Phone ( ) - \_\_\_\_\_ Mat. Dt. \_\_\_\_\_  
Collateral \_\_\_\_\_ Loan # \_\_\_\_\_ SBA Refi

**Total Balance \$** \_\_\_\_\_

**Figures should reconcile with most recent Tax Return or Interim Financial Statement provided to Lender.**